

**Department of Veterans Affairs
FY 16 ECCR Report**

Name of Department/Agency responding:	<u>Department of Veterans Affairs</u>
Name and Title/Position of person responding:	<u>C. J. Cordova, Director Energy Management Program Service</u>
Division/Office of person responding:	<u>Office of Asset Enterprise Management</u>
Contact information (phone/email):	<u>202-632-5999</u> <u>cynthia.cordova@va.gov</u>
Date this report is being submitted:	<u>February 24, 2017</u>
Name of ECR Forum Representative	<u>Catherine Johnson</u>

1. **ECCR Capacity Building Progress:** Describe steps taken by your department or agency to build programmatic and institutional capacity for environmental collaboration and conflict resolution in FY 2016, including progress made since FY 2012. Include any efforts to establish routine procedures for considering ECCR in specific situations or categories of cases. To the extent your organization wishes to report on any efforts to provide institutional support for non-assisted collaboration efforts include it here. If no steps were taken, please indicate why not.

[Please refer to the mechanisms and strategies presented in Section 5 and attachment C of the OMB-CEQ ECCR Policy Memo, including but not restricted to any efforts to a) integrate ECCR objectives into agency mission statements, Government Performance and Results Act goals, and strategic planning; b) assure that your agency's infrastructure supports ECCR; c) invest in support, programs, or trainings; and d) focus on accountable performance and achievement. You are encouraged to attach policy statements, plans and other relevant documents.]

Historically, there have not been a significant number of VA projects where environmental collaboration and conflict resolution (ECCR) would be appropriate. However, VA is committed to increasing the use of collaborative decision-making and alternative dispute resolution (ADR) processes. VA is actively advocating the use of mediation and other ADR processes and ensuring that reliable, credible, technical, and scientific information is available to stakeholders that are engaged in collaborative resource management efforts.

VA continues to implement VA Directive 5978, Alternative Dispute Resolution which specifically addresses environmental conflict resolution. The directive designates the Director, Office of Asset Enterprise Management as the person responsible for VA's ECCR Program - including assuring participation of VA

staff offices and Administrations in developing and implementing VA's ECCR program; promoting the use of ECCR where appropriate; and tracking and reporting on the use of ECCR within VA.

Additionally, VA seeks the input of stakeholders through public meetings for actions and projects that it anticipates will generate controversy. VA maintains an Environmental ADR Program website, <http://www1.va.gov/adr/EnvADR.asp>.

2. **ECCR Investments and Benefits**

- a) Please describe any methods your agency uses to identify the (a) investments made in ECCR, and (b) benefits realized when using ECCR.

Examples of investments may include ECCR programmatic FTEs, dedicated ECCR budgets, funds spent on contracts to support ECCR cases and programs, etc.

Examples of benefits may include cost savings, environmental and natural resource results, furtherance of agency mission, improved working relationship with stakeholders, litigation avoided, timely project progression, etc.

VA has not historically had the need to utilize the ECCR process and therefore has not identified any investments made in ECCR.

Since VA has not utilized ECCR in FY 2016, we have not seen any benefits; however VA has had beneficial negotiations and settlements with environmental agencies without the assistance of a neutral third party in the past.

- b) Please report any (a) quantitative or qualitative investments your agency captured during FY 2016; and (b) quantitative or qualitative results (benefits) you have captured during FY 2016.

As stated previously, VA has not identified any ECCR investments or benefits.

- c) What difficulties have you encountered in generating cost and benefit information and how do you plan to address them?

VA has not identified any difficulties in generating cost and benefit information.

3. **ECCR Use:** Describe the level of ECCR use within your department/agency in FY 2016 by completing the table below. [Please refer to the definition of ECCR from the OMB-CEQ memo as presented on page one of this template. An ECCR “case or project” is an instance of neutral third-party involvement to assist parties in a collaborative or conflict resolution process. In order not to double count processes, please select one category per case for decision making forums and for ECCR applications.

	Total FY 2016 ECCR Cases ¹	Decision making forum that was addressing the issues when ECCR was initiated:				ECCR Cases or projects completed ²	ECCR Cases or Projects sponsored ³	Interagency ECCR Cases and Projects	
		Federal agency decision	Administrative proceedings /appeals	Judicial proceedings	Other (specify)			Federal only	Including non federal participants
<i>Context for ECCR Applications:</i>									
Policy development	_____	_____	_____	_____	_____	_____	_____	_____	_____
Planning	_____	_____	_____	_____	_____	_____	_____	_____	_____
Siting and construction	_____	_____	_____	_____	_____	_____	_____	_____	_____
Rulemaking	_____	_____	_____	_____	_____	_____	_____	_____	_____
License and permit issuance	_____	_____	_____	_____	_____	_____	_____	_____	_____
Compliance and enforcement action	_____	_____	_____	_____	_____	_____	_____	_____	_____
Implementation/monitoring agreements	_____	_____	_____	_____	_____	_____	_____	_____	_____
Other (specify): _____	_____	_____	_____	_____	_____	_____	_____	_____	_____
TOTAL	0	0	0	0	0	0	0	0	0
		(the sum of the Decision Making Forums should equal Total FY 2016 ECCR Cases)							

¹ An “ECCR case” is a case in which a third-party neutral was active in a particular matter during FY 2016.

² A “completed case” means that neutral third party involvement in a particular ECCR case ended during FY 2016. The end of neutral third party involvement does not necessarily mean that the parties have concluded their collaboration/negotiation/dispute resolution process that all issues are resolved, or that agreement has been reached.

³ Sponsored - to be a sponsor of an ECCR case means that an agency is contributing financial or in-kind resources (e.g., a staff mediator's time) to provide the neutral third party's services for that case. More than one sponsor is possible for a given ECCR case.

Note: If you subtract completed ECCR cases from Total FY 2016 cases it should equal total ongoing cases. If you subtract sponsored ECCR cases from Total FY 2016 ECCR cases it should equal total cases in which your agency or department participated but did not sponsor. If you subtract the combined interagency ECCR cases from Total FY 2016 cases it should equal total cases that involved only your agency or department with no other federal agency involvement.

4. ECCR Case Example

Using the template below, provide a description of an ECCR case (preferably completed in FY 2016). Please limit the length to no more than 2 pages.

VA Response: In FY 2016, VA did not have the opportunity to participate in any ECCR cases.

Name/Identification of Problem/Conflict
Overview of problem/conflict and timeline, including reference to the nature and timing of the third-party assistance, and how the ECCR effort was funded
Summary of how the problem or conflict was addressed using ECCR, including details of any innovative approaches to ECCR, and how the principles for engagement in ECCR outlined in the policy memo were used
Identify the key beneficial outcomes of this case, including references to likely alternative decision making forums and how the outcomes differed as a result of ECCR
Reflections on the lessons learned from the use of ECCR

5. Other ECCR Notable Cases: Briefly describe any other notable ECCR cases in the past fiscal year. (Optional)

6. Priority Uses of ECCR:

Please describe your agency's efforts to address priority or emerging areas of conflict and cross-cutting challenges either individually or in coordination with other agencies. For example, consider the following areas: NEPA, ESA, CERCLA, energy development, energy transmission, CWA 404 permitting, tribal consultation, environmental justice, management of ocean resources, infrastructure development, National Historic Preservation Act, other priority areas.

VA did not identify any priority areas in our FY 2015 report. VA's relatively small environmental docket is comprised mostly of enforcement actions with EPA or state agencies. The process for resolving these actions is dictated largely by regulatory and statutory requirements. VA has a history of successfully settling enforcement actions through an informal process and without the assistance of a neutral third party.

VA's three administrations, the Veterans Benefit Administration (VBA), the Veterans Health Administration (VHA), and the National Cemetery Administration (NCA), include public outreach as an integral component of how they conduct their business. For instance, public outreach is a component of VA's compliance with NEPA for construction and other projects that have an impact on the environment. Through its public outreach VA works to both prevent environmental conflict from developing and remediate possible adverse environmental impacts when they should arise. In the event that such conflict cannot be resolved, VA believes that the principles of ECCR can be applied to arrive at mutually acceptable solutions.

7. Non-Third-Party-assisted Collaboration Processes: Briefly describe other significant uses of environmental collaboration that your agency has undertaken in FY 2016 to anticipate, prevent, better manage, or resolve environmental issues and conflicts that do not include a third-party neutral. *Examples may include interagency MOUs, enhanced public engagement, and structural committees with the capacity to resolve disputes, etc.*

In FY 2016, VA did not have the opportunity to participate in any significant uses of environmental collaboration.

8. Comments and Suggestions re: Reporting: Please comment on any difficulties you encountered in collecting these data and if and how you overcame them. Please provide suggestions for improving these questions in the future.

As a result of VA's limited use of ECCR, collecting data for this report was not problematic.

Please attach any additional information as warranted.

Report due February 24, 2017.

Submit report electronically to: kavanaugh@udall.gov

**Basic Principles for Agency Engagement in
Environmental Conflict Resolution and Collaborative Problem Solving**

Informed Commitment	Confirm willingness and availability of appropriate agency leadership and staff at all levels to commit to principles of engagement; ensure commitment to participate in good faith with open mindset to new perspectives
Balanced, Voluntary Representation	Ensure balanced inclusion of affected/concerned interests; all parties should be willing and able to participate and select their own representatives
Group Autonomy	Engage with all participants in developing and governing process; including choice of consensus-based decision rules; seek assistance as needed from impartial facilitator/mediator selected by and accountable to all parties
Informed Process	Seek agreement on how to share, test and apply relevant information (scientific, cultural, technical, etc.) among participants; ensure relevant information is accessible and understandable by all participants
Accountability	Participate in the process directly, fully, and in good faith; be accountable to all participants, as well as agency representatives and the public
Openness	Ensure all participants and public are fully informed in a timely manner of the purpose and objectives of process; communicate agency authorities, requirements and constraints; uphold confidentiality rules and agreements as required for particular proceedings
Timeliness	Ensure timely decisions and outcomes
Implementation	Ensure decisions are implementable consistent with federal law and policy; parties should commit to identify roles and responsibilities necessary to implement agreement; parties should agree in advance on the consequences of a party being unable to provide necessary resources or implement agreement; ensure parties will take steps to implement and obtain resources necessary to agreement